



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

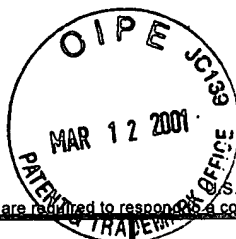
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/108,189	
	Filing Date	July 1, 1998	
	First Named Inventor	Howard Tanner, et al.	
	Group Art Unit	3763	
	Examiner Name	J. Thissel	
Total Number of Pages in This Submission	15	Attorney Docket Number	23660-00611

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment Reply Preliminary	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request (33 mos.)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): CPA Request Transmittal
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	JOHN N. COULBY, Reg. No. 43,565
Signature	
Date	March 12, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>	
Typed or printed name	<input type="text"/>
Signature	<input type="text"/>
Date	<input type="text"/>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 800.00

Complete if Known

Application Number	09/108,189
Filing Date	July 1, 1998
First Named Inventor	Howard Tanner, et al.
Examiner Name	J. Thissel
Group Art Unit	3763
Attorney Docket No.	23660-00611

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																													
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <input type="text"/> Deposit Account Name <input type="text"/> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																																																																																																																													
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 390</td><td>216 195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 890</td><td>217 445</td><td>Extension for reply within third month</td><td>445.00</td></tr><tr><td>118 1,390</td><td>218 695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,890</td><td>228 945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 310</td><td>219 155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 310</td><td>220 155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 270</td><td>221 135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,240</td><td>241 620</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,240</td><td>242 620</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 440</td><td>243 220</td><td>Design issue fee</td><td></td></tr><tr><td>144 600</td><td>244 300</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>126 180</td><td>126 180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 710</td><td>246 355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 710</td><td>249 355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179 710</td><td>279 355</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169 900</td><td>169 900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="3">Other fee (specify) <u>CPA Request Transmittal</u></td><td>355.00</td></tr><tr><td colspan="3">SUBTOTAL (3)</td><td>(\$) 800.00</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath		127 50	227 25	Surcharge - late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 390	216 195	Extension for reply within second month		117 890	217 445	Extension for reply within third month	445.00	118 1,390	218 695	Extension for reply within fourth month		128 1,890	228 945	Extension for reply within fifth month		119 310	219 155	Notice of Appeal		120 310	220 155	Filing a brief in support of an appeal		121 270	221 135	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,240	241 620	Petition to revive - unintentional		142 1,240	242 620	Utility issue fee (or reissue)		143 440	243 220	Design issue fee		144 600	244 300	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Processing fee under 37 CFR 1.17(q)		126 180	126 180	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))		149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))		179 710	279 355	Request for Continued Examination (RCE)		169 900	169 900	Request for expedited examination of a design application		Other fee (specify) <u>CPA Request Transmittal</u>			355.00	SUBTOTAL (3)			(\$) 800.00
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
105 130	205 65	Surcharge - late filing fee or oath																																																																																																																													
127 50	227 25	Surcharge - late provisional filing fee or cover sheet																																																																																																																													
139 130	139 130	Non-English specification																																																																																																																													
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																													
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																													
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																													
115 110	215 55	Extension for reply within first month																																																																																																																													
116 390	216 195	Extension for reply within second month																																																																																																																													
117 890	217 445	Extension for reply within third month	445.00																																																																																																																												
118 1,390	218 695	Extension for reply within fourth month																																																																																																																													
128 1,890	228 945	Extension for reply within fifth month																																																																																																																													
119 310	219 155	Notice of Appeal																																																																																																																													
120 310	220 155	Filing a brief in support of an appeal																																																																																																																													
121 270	221 135	Request for oral hearing																																																																																																																													
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																													
140 110	240 55	Petition to revive - unavoidable																																																																																																																													
141 1,240	241 620	Petition to revive - unintentional																																																																																																																													
142 1,240	242 620	Utility issue fee (or reissue)																																																																																																																													
143 440	243 220	Design issue fee																																																																																																																													
144 600	244 300	Plant issue fee																																																																																																																													
122 130	122 130	Petitions to the Commissioner																																																																																																																													
123 50	123 50	Processing fee under 37 CFR 1.17(q)																																																																																																																													
126 180	126 180	Submission of Information Disclosure Stmt																																																																																																																													
581 40	581 40	Recording each patent assignment per property (times number of properties)																																																																																																																													
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																													
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																													
179 710	279 355	Request for Continued Examination (RCE)																																																																																																																													
169 900	169 900	Request for expedited examination of a design application																																																																																																																													
Other fee (specify) <u>CPA Request Transmittal</u>			355.00																																																																																																																												
SUBTOTAL (3)			(\$) 800.00																																																																																																																												
FEE CALCULATION																																																																																																																															
1. BASIC FILING FEE																																																																																																																															
<table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 710</td><td>201 355</td><td>Utility filing fee</td><td></td></tr><tr><td>106 320</td><td>206 160</td><td>Design filing fee</td><td></td></tr><tr><td>107 490</td><td>207 245</td><td>Plant filing fee</td><td></td></tr><tr><td>108 710</td><td>208 355</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 710	201 355	Utility filing fee		106 320	206 160	Design filing fee		107 490	207 245	Plant filing fee		108 710	208 355	Reissue filing fee		114 150	214 75	Provisional filing fee																																																																																																							
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
101 710	201 355	Utility filing fee																																																																																																																													
106 320	206 160	Design filing fee																																																																																																																													
107 490	207 245	Plant filing fee																																																																																																																													
108 710	208 355	Reissue filing fee																																																																																																																													
114 150	214 75	Provisional filing fee																																																																																																																													
SUBTOTAL (1)		(\$) - 0 -																																																																																																																													
2. EXTRA CLAIM FEES																																																																																																																															
<table><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Independent Claims</td><td>-20** = <input type="text"/> X <input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td></tr><tr><td>Multiple Dependent Claims</td><td>-3** = <input type="text"/> X <input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td></tr></tbody></table>		Total Claims	Extra Claims	Fee from below	Fee Paid	Independent Claims	-20** = <input type="text"/> X <input type="text"/>	<input type="text"/>	<input type="text"/>	Multiple Dependent Claims	-3** = <input type="text"/> X <input type="text"/>	<input type="text"/>	<input type="text"/>																																																																																																																		
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																												
Independent Claims	-20** = <input type="text"/> X <input type="text"/>	<input type="text"/>	<input type="text"/>																																																																																																																												
Multiple Dependent Claims	-3** = <input type="text"/> X <input type="text"/>	<input type="text"/>	<input type="text"/>																																																																																																																												
<table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102 80</td><td>202 40</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104 270</td><td>204 135</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109 80</td><td>209 40</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>110 18</td><td>210 9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 80	202 40	Independent claims in excess of 3		104 270	204 135	Multiple dependent claim, if not paid		109 80	209 40	** Reissue independent claims over original patent		110 18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																							
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
103 18	203 9	Claims in excess of 20																																																																																																																													
102 80	202 40	Independent claims in excess of 3																																																																																																																													
104 270	204 135	Multiple dependent claim, if not paid																																																																																																																													
109 80	209 40	** Reissue independent claims over original patent																																																																																																																													
110 18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																																													
SUBTOTAL (2)		(\$) - 0 -																																																																																																																													

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 800.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	JOHN N. COULBY	Registration No. (Attorney/Agent)	43,565
Signature		Telephone	202.342.8400
		Date	March 12, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Collier Shannon Scott

CPA 13763\$
Collier Shannon Scott, PLLC
Washington Harbour, Suite 400
3050 K Street, NW
Washington, DC 20007-5108
202.342.8400 TEL
202.342.8451 FAX

JOHN N. COULBY
202.342.8829
jcoulby@colliershannon.com



March 12, 2001

Assistant Commissioner for Patents
BOX NON-FEE AMENDMENT
Washington, D.C. 20231

RECEIVED
MAR 16 2001
TC 3700 MAIL ROOM

Re: U.S. Patent Application Serial No. 09/108,189
For: INTRODUCER SHEATH FOR USE DURING A SURGICAL
PROCEDURE
Our Reference: 23660-00611

Dear Sir:

Transmitted herewith for filing in the U.S. Patent and Trademark Office in connection with the above-referenced application are the following documents: (1) Transmittal Form (1 sheet); (2) Fee Transmittal (1 sheet); (3) Continued Prosecution Application Request Transmittal (2 sheets); (4) Petition for Extension of Time (3 months); (6) Preliminary Amendment (5 sheets); (7) Marked-Up Version of Preliminary Amendment (2 sheets); and (8) Check No. 111228 in the amount of \$800.00 to cover the U.S. Government CPA and extension of time fees.

Sincerely yours,

JOHN N. COULBY, Reg. No. 43,565

Enclosures